



PATENT APPLICATION
Docket No: 14591.10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of)
	Hicks et al.)
)
Serial No.:	09/931,392)Art Unit
)3626
Confirmation No.:	1575)
)
Filed:	8/16/01)
)
For:	BUCKLE LANYARD CONNECTOR AND SYSTEM)

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Statement of Relevance of References Listed
Unaccompanied by English Translation
Under 37 C.F.R. § 1.98(a)(3)

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided.

JP 411-103910

“NOVELTY – The pull-tab connector comprises a U-shaped bracket (1) having a pair of opposite side frames (6) and a central frame (5) extending between the opposite side frames. A connector ring (7) is connected to an outer surface of the central frame of the U-shaped bracket and is adapted to be attached to a pull-tab attachment lug of the slide fastener slider. It has a strap-turning bar (2) supported by the U-shaped bracket (1) centrally between the opposite side frames for turning the strap (4) around the strap-turning bar. It has a strap-insertion bar (3) supported by the U-shaped bracket between respective free ends of the opposite side frames and having a first strap-insertion through-hole (11) through which the strap is to be inserted.

USE – Pull-tab connector for slide fastener slider.

ADVANTAGE – Allows easier exchanging of strap with another.

DESCRIPTION OF DRAWING(S) – Perspective view of U-shaped bracket of pull-tab connector.

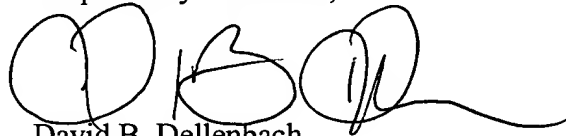
TITLE-TERMS: PULL TAB CONNECT SLIDE FASTEN SLIDE”

(A) DE 3837-261-A (German Reference)

The fastening (20) for a piece of jewellery (10) in the form of a hollow piece (10) open at last on one side, is inserted into the open side. When fastened, the fastening (20) rests against a surface (23) inside the hollow piece. A flap (20) is pivot mounted on a first end (25) (25) on a pivot axle (26) on at least one wall of the hollow piece. An angled extension (28) is made of flexible material, so that the flap (20) is mounted by means of the sprung piece (11) so that it moves sideways, in the hollow piece (10). USE/ADVANTAGE – The fastening for a piece of jewelry is easy to produce and securely fastened.

Dated this 15th day of November, 2001.

Respectfully submitted,

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke extending to the right.

David B. Dellenbach
Attorney for Applicant
Registration No. 39,166

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GROUP 3600

Art Unit
3626

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that the following documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box IDS - NO FEE enclosed, Assistant Commissioner for Patents, Washington, DC 20231 on this 16th day of November, 2001.

- Transmittal for Information Disclosure Statement (3 pp.)
- Information Disclosure Statement (3 pp.)
- Form PTO 1449 (4 pp.)
- Copies of 62 References
- Certificate of Deposit
- Postcard

Dated this 16th day of November, 2001.

Respectfully submitted,

Emily Mechem, Assistant to
David B. Dellenbach
Attorney for Applicant
Registration No. 39,166



022913

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Docket No. 14591.10

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} Art Unit
} 3626

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT

Box IDS - NO FEE enclosed
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement, which includes the following statements, if any, required variously by 37 C.F.R. § 1.98:

- ☐ Statement of relevance of selected cited references not in the English language which are not translated.
- ☐ Statement that selected cited references are substantially cumulative of an enclosed or previously submitted reference.

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A. Additional Materials Required Due to Content of Information Disclosure Statement

Transmitted are the following documents in addition to the Information Disclosure Statement as required variously under 37 C.F.R. § 1.98:

- ☒ Form PTO-1449 listing 62 reference(s) submitted for consideration (4 pp).
- ☒ Copies of 62 of the 62 reference(s) listed on the Form PTO-1449.

B. Additional Materials Required Due to Timing of Filing of Information Disclosure Statement

The transmitted Information Disclosure Statement is being filed within the following time period:

- ☒ Prior to the later of either three (3) months following the filing date or the mailing of a first Office Action. Accordingly, no materials other than those listed above are enclosed.

C. Fees

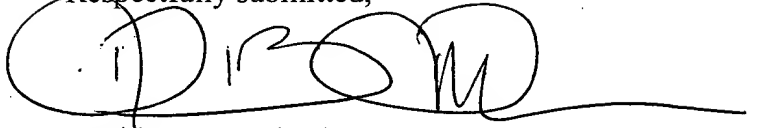
The Commissioner is hereby authorized to charge payment of or any deficiency in the following fees associated with this communication, or to credit any overpayment thereof, to Deposit Account No. 23-3178. A duplicate copy of this letter is enclosed.

- ☒ Any fee required in relation to filing of this letter or any documents transmitted therewith.
- ☒ The submission fee set forth in 37 C.F.R. § 1.17(p) in the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that any Promptness Certificate submitted meets the requirements of 37 C.F.R. § 1.97(e).
- ☒ The submission fee set forth in 37 C.F.R. § 1.17(p).
- ☒ The petition fee set forth in 37 C.F.R. § 1.17(i)(1).
- ☒ A Certificate of Deposit Under 37 C.F.R. § 1.8 is enclosed.

Please address all future correspondence in connection with the above-identified application to the attention of the undersigned.

Dated this 16th day of November, 2001.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'D B Dellenbach', written over a horizontal line.

David B. Dellenbach
Attorney for Applicant
Registration No. 39,166



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PATENT TRADEMARK OFFICE

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